

GENERAL CONTRACTOR PREQUALIFICATION DOCUMENTS

For Construction of Kearns Improvement District 2021 Sewer Pipeline Project

August 2021

OWNER

Kearns Improvement District

ENGINEER

Ensign Engineering
45W. 10000S. Suite 500
Sandy, Utah 84070

Statements of Qualifications will be received at the office of the Kearns Improvement District (Attention: James "Woody" Woodruff, P.E.), located at 5350 West 5400 South, Kearns, UT 84118 until 11:00 A.M. on Wednesday September 21, 2021. Late submittals will not be accepted.

**NOTICE INVITING GENERAL CONTRACTORS
TO PREQUALIFY TO BID**

RECEIPT OF PREQUALIFICATION INFORMATION. Kearns Improvement District, Owner of the Work, located at located at 5350 West 5400 South, Kearns, UT 84118 will accept Prequalification Information from General Contractors interested in bidding on the Kearns Improvement District 2021 Zone E Sewer Pipeline Project. Bids on this project will only be accepted from those General Contractors that are prequalified by the Owner. No other General Contractors will be eligible to bid on these projects.

GENERAL CONTRACTORS. The prequalification procedure will select and prequalify General Contractors to bid the construction of the 2021 Zone E Sewer Pipeline Project.

TENTATIVE PROJECT SCHEDULE. The tentative project schedule is as follows:

Pre-qualification documents available	Wed September 8, 2021
Pre-qualification Information Submittals due	Wed September 22, 2021
Notification of qualified contractors	October 6, 2021
Final Design Document Available to Contractors	October 13, 2021
Receipt of Bid	October 27, 2021
Award of Contract	November 10, 2021
Outfall Zone E Sewer Line Construction	Nov 2021 – October 2022

DESCRIPTION OF WORK.

The work required includes, but is not limited to:

Work Schedule A (Outfall Zone E Sewer) includes constructing approximately 4,500 feet of 15-inch diameter SDR35 PVC pipe and 112 LF 24" Bore and Casing under UT-111.

SITE OF WORK: Mountain View Corridor to UT-111 from 6600 South to 7000 South, Kearns, UT (includes parts of unincorporated Salt Lake County, West Jordan City, and West Valley City).

OBTAINING CONTRACTOR PREQUALIFICATION DOCUMENTS. The documents are entitled, "General Contractor Prequalification Documents for Construction of the Kearns Improvement District 2021 Zone E Sewer Pipeline Project" may be obtained beginning at 2:00 P.M. on Wednesday September 8, 2021 electronically at Kearns Improvement District website www.kearnsid.org

PROJECT ADMINISTRATION. All communications relative to this WORK shall be directed to the OWNER and Engineer via email prior to submittal of the Prequalification Information:

Owner: Kearns Improvement District
Telephone: 801-912-0282
Email: jwoodruff@kearnsid.org
Contact: James "Woody" Woodruff

ADDRESS AND MARKING OF PREQUALIFICATION INFORMATION. The envelope enclosing the Prequalification Information shall be addressed and submitted to the Kearns Improvement District Office (Attention: James "Woody" Woodruff) and delivered or mailed to 5350 West 5400 South, Kearns, UT 84118 and received no later than 11:00 A.M. on Wednesday September 22, 2021. Late submittals will not be accepted. The envelope shall be plainly marked in the upper left-hand corner with the name and address of the Contractor and shall bear the words "Pre-qualification for Construction of the Kearns Improvement District 2021 Zone E Sewer Pipeline Project" and state the submission deadline date and time. One hard copy of the Pre-qualification Information shall be submitted.

OWNER'S RIGHTS RESERVED. The OWNER reserves the right to reject any or all Prequalification Information Submittals, to waive any formality in the Prequalification Information, and to make selection and prequalify Contractors, and to modify the schedule and scope of the projects as it may best serve the interest of the OWNER.

Date: September 8, 2021
Owner: Kearns Improvement District

END OF SECTION

GENERAL CONTRACTOR PREQUALIFICATION REQUIREMENTS

GENERAL

Kearns Improvement District (Owner) is seeking contractors that would like to prequalify to submit bids for the Kearns Improvement District 2021 Zone E Sewer Pipeline Project. This document describes the requirements for prequalification of General Contractors, the submittal requirements for prequalification statements, and the evaluation criteria.

PURPOSE AND APPROACH

The purpose of the prequalification process is to select those Contractors (general contractors who will submit bids for the Work) that the Owner deems to be qualified and capable of completing the projects in conformance with the Contract Documents.

Parties submitting prequalification information in accordance with these documents will be notified whether or not they are selected for prequalification. Only contractors who are prequalified by Kearns Improvement District will be allowed to submit bids for the projects.

Selection as a prequalified Contractor does not imply Owner's acceptance or approval of the contractor's specific personnel, equipment or methods, whether or not these items are described in the Contractor's prequalification statement.

PROJECT DESCRIPTION

The Work to be performed under this Contract consists of furnishing all facilities, tools, equipment, materials (not otherwise pre-purchased by the Owner), supplies, and manufactured articles, and furnishing all labor, transportation, and services including fuel, power, water, essential communications, permits, and performing all Work, or other operations required for the fulfillment of the Contract in strict accordance with the Contract Documents.

Work of this contract comprises the construction of a new Outfall Zone E Sewer Line lines between Mountain View Corridor and UT-111. The District shall award this work to one contractor. The work schedules are described below:

Work Schedule A (Outfall Zone E Sewer) includes constructing approximately 4,500 feet of 15-inch diameter SDR35 PVC pipe and 112 LF 24" Bore and Casing under UT-111.

PRELIMINARY BUDGET

The preliminary budget estimate for this Work Schedule is estimated to be in the range of \$1.8-\$2.0 million.

FAMILIARITY WITH PROJECT

Contract documents for the project are currently in the final design phase. All preliminary information for the project is included in this package. No pre-submittal information meeting will be held. Questions regarding project scope and schedule shall be directed via email to the OWNER and Engineer, by contacting:

Owner: Kearns Improvement District
Telephone: 801-912-0282
Email: jwoodruff@kearnsid.org
Contact: James "Woody" Woodruff

Contractors are advised that technical and contractual changes to the construction plans and specifications are anticipated prior to bidding. Prequalification will not exempt a Contractor from meeting any of the requirements of the Contract Documents. The Contractor's prequalification statement is not part of the Contract Documents but will be evaluated with the Contractor's bid documents.

SUBMITTAL PROCEDURE

The envelope enclosing the Prequalification Information shall be addressed and submitted to the Kearns Improvement District Office (Attention: James "Woody" Woodruff) and delivered or mailed to 5350 West 5400 South, Kearns, UT 84118 and received no later than 11:00 A.M. on Wednesday, September 22, 2021. Late submittals will not be accepted. The envelope shall be plainly marked in the upper left-hand corner with the name and address of the Contractor and shall bear the words "Pre-qualifications for Construction of the Kearns Improvement District 2021 Sewer Pipeline Project" and state the submission deadline date and time. One hard copy of the Prequalification Information shall be submitted.

Information contained in the statements of qualifications will be considered confidential and reasonable precautions will be taken to ensure the security of the documents. All statements will become and remain the property of the Owner.

The Owner reserves the right to request a Contractor to clarify any part of his statement. Response to such requests must be made in writing and will become part of the statement of qualifications. Unsolicited supplementary information and materials received after the deadline will not be considered in the evaluation.

TENTATIVE PROJECT SCHEDULE

The tentative project schedule is as follows:

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EVALUATION CRITERIA

Information provided by contractors will be given to Kearns Improvement District appointed Selection Committee for evaluation. Only those contractors that are selected by the Selection Committee will be sent the Request for Bid for the Kearns Improvement District 2021 Zone E Sewer Pipeline Project.

Criteria - The criteria to be used to evaluate the SOQ are as follows:

- A. Scoring Methodology
 - a) Five Points (Excellent):
 - b) Four Points (Very Good):
 - c) Three points (Good):
 - d) Two points (Fair):
 - e) One Point (Poor):

- B. Multiplication Factors or Weighting
 - a) Each scored line item will be multiplied by a weighted importance factor as shown. The scoring will be multiplied by the weighted importance factor to give the total points for that line item.

- C. Pass/Fail

SOQ Evaluation Scoring

Item	Description	Max Points	Weighted Factor	Possible Points
1	10-Year Construction Experience of similar facilities	5	5	25
2	Project Experience	5	5	25
3	Project Performance	5	10	50
4	Business office in the State of Utah			Pass/Fail - Failure to include, rejection of the SOQ
5	Contractor references and project verification	5	15	75
6	Experience Assisting Owners and Engineers with constructability and cost issues	5	5	25
7	Minimum Experience Demonstrated			Pass/Fail – Failure to include, rejection of the SOQ
8	Insurance and bonding requirements			Pass/Fail - Failure to include, rejection of the SOQ
9	E - Verification			Pass/Fail - Failure to include, rejection of the SOQ
10	No Conflict Statement			Pass/Fail - Failure to include, rejection of the SOQ
11	Supplemental Information			No scoring for this item but may enhance other categories.
Total Points Possible				200

Committee Evaluation of SOQs - Each SOQ will be evaluated by the KID Selection Committee in accordance with the KID Procurement Policy. The SOQs will be scored on the basis of the items outlined. Non-responsive SOQs (those not conforming to the RFQ requirements) may be eliminated. The Contractor bears sole responsibility for the items and information included, or not included, in the SOQ submitted by that Consultant. The Owner reserves the right to disqualify any SOQ that includes significant deviations or exceptions to the terms, conditions and/or specifications in this RFQ. Final recommendations of the highest ranked Contractor(s) will be made by the KID Selection Committee. Contractors who achieve a threshold of 160 points or higher and do not fail any submittals or requirements, will be invited to bid on the project.

Questions on the project must be received by James “Woody” Woodruff, P.E., Public Works Director, Kearns Improvement District no later than Friday, September 17, 2021.

Telephone: 801-912-0282
 Email (Preferred) jwoodruff@kearnsid.org

QUESTIONNAIRE AND STATEMENT OF QUALIFICATIONS

1. **General Company Information:**

Company Name:

Type of Corporation:

Incorporated in the State of:

Company Owner or CEO:

Main Business Contact:

Business Address:

Business Phone Numbers (general & cell)

E-mail Address:

Number of Years in the General Construction Business:

2. **Contractor License Information:**

Primary Classification:

Utah Number:

Date of Issue:

Type of License:

Supplemental Classifications held, if any:

(Please state and explain any disciplinary actions taken by the State of Utah to revoke or suspend the above license or attempts to investigate the license holder for business or construction related reasons (include on a separate and attached sheet of paper).

3. **Names and Titles of Principal Officers of Contractor's Firm:**

Name:

Title:

Name:

Title:

Name:

Title:

4. Name, address, and telephone number of surety company and agent who will provide the required bonds on this Project Contract:

Name:

Address:

Telephone No.:

Email:

5. Contractor's maximum bonding amount:

6. Ratio of Unused Bonding Capacity to Maximum Bonding Capacity:

7. The Contractor must agree to the insurance and bonding requirements in Exhibit A, "Required Contractor's Liability Insurance and Performance and Payment Bonds."

8. Company Project Work Experience of Similar Use, Size and Budget:

Present information on similar pipeline projects completed by the Contractor in the past 10 years. Projects listed should demonstrate experience in the construction of projects that meet the minimum requirements listed for this project (see subsequent section for number and requirements of various project types). Include the following information for each project listed:

- Name of project
- Owner (include reference and phone number)
- Engineer (include reference and phone number)
- Dates of project completion
- Total Construction Cost
- Total of Change Orders (identify Owner requested Change Orders)
- Dollar value of work performed
- Pipe Size, Depths, and Material
- Completed within time allowed? (if no, explain)
- Were any claims or disputes filed? (If yes, explain).

MINIMUM QUALIFICATIONS

Qualified Contractors must submit evidence meeting the following minimum criteria:

- Contractor, project manager, and superintendent(s) shall have demonstrated successful performance of completed projects without unresolved, unrealistic, unnecessary, frequent claims and/or arbitration, mediation, or litigation.
- Contractor shall have successfully installed at least 10,000 linear feet of sewer pipe and have experience with at least 1,000 feet of boring and casing of large pipe. This is a mandatory requirement to be considered qualified for Work Schedule A.
- Contractor's general project superintendent shall have at least ten (10) years of experience and shall have been superintendent on the construction of at least 10,000 linear feet of sewer pipe (with at least one project at depths greater than 20 feet).
- Contractor's Project Manager must have at least five (5) years of experience and show that they have been Project Manager on the construction of at least 10,000 linear feet of sewer pipe.

The Owner shall be entitled to contact each and every reference listed by the Contractor. The Contractor, by submitting a prequalification proposal expressly agrees that any Contractor information in possession of said entities and references may be made available to the Owner.

9. Provide five (5) references for whom the Contractor has provided work on similar projects who is familiar with the Contractor's abilities. This information must be provided on the attached reference sheets (see attached forms).
10. Sign Certificate of Compliance with E-Verification Program
11. Sign No Conflict of Interest Statement.

Contractor's Signature: _____ Date:
(Please type name)

Contractor Reference No. 1

Name of Contractor:	
Date:	
Name of Reference:	
Title of Reference:	
Company:	
Telephone No:	
Email:	
Name of Project:	
Cost of Project:	

Include a brief description of the project below

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Contractor Reference No. 2

Name of Contractor:	
Date:	
Name of Reference:	
Title of Reference:	
Company:	
Telephone No:	
Email:	
Name of Project:	
Cost of Project:	

Include a brief description of the project below

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Contractor Reference No. 3

Name of Contractor:	
Date:	
Name of Reference:	
Title of Reference:	
Company:	
Telephone No:	
Email:	
Name of Project:	
Cost of Project:	

Include a brief description of the project below

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Contractor Reference No. 4

Name of Contractor:	
Date:	
Name of Reference:	
Title of Reference:	
Company:	
Telephone No:	
Email:	
Name of Project:	
Cost of Project:	

Include a brief description of the project below

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Contractor Reference No. 5

Name of Contractor:	
Date:	
Name of Reference:	
Title of Reference:	
Company:	
Telephone No:	
Email:	
Name of Project:	
Cost of Project:	

Include a brief description of the project below

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EXHIBIT A

Required Contractor's Liability Insurance and Bonds

The requirements of General Conditions and Supplementary General Conditions for insurance to be purchased and maintained by the CONTRACTOR and any renewals thereof, are as follows:

A. The General Conditions for this project: AIA Document A201-2007, General Conditions of the Contract for Construction.

B. All liability insurance policies for the project shall include an endorsement naming the OWNER, ARCHITECT AND ENGINEER as additional insureds.

C. The CONTRACTOR General Liability Insurance shall be comprehensive form and shall include the following coverages;

- Auto Liability Insurance shall be comprehensive form and shall cover owned, hired and non-owned vehicles.
- The limits of liability for the insurance required by the General Conditions and Supplementary General Conditions shall provide the following coverages for not less than the following amounts or greater where required by Laws and Regulations.
 - a. Workers' Compensation required by the General Conditions and the Supplementary General Conditions.
 - State Statutory
 - Applicable Federal Statutory
 - Employer's Liability (Each Accident) \$1,000,000
 - b. Contractor's Commercial General Liability Insurance required by the General conditions and Supplementary General Conditions:
 - General Aggregate (Except Products- Completed Operations) \$1,000,000
 - Products – Completed Operations Aggregate \$1,000,000
 - Personal and Advertising Injury (Per Person/Organization) \$1,000,000
 - Each Occurrence (Bodily Injury and Property Damage) \$1,000,000
 - Property Damage Liability Insurance will provide Explosion, Collapse, and Underground coverages where applicable.
 - Excess Liability in Umbrella Form:
 - General Aggregate \$2,000,000
 - Each Occurrence \$1,000,000
 - c. Automobile Liability required by the General Conditions and the Supplementary General Conditions:
 - Bodily Injury:
 - Each Person \$1,000,000
 - Each Accident \$1,000,000
 - Property Damage:
 - Each Accident \$1,000,000
 - Combined Single Limit (Bodily Injury and Property Damage)
 - Each Accident \$1,000,000
 - d. Other persons or entities (other than those already listed in the General Conditions) to be included on the policy as additional insureds shall include:
 - Kearns Improvement District
 - Bowen Collins & Associates and its subconsultants.
 - Other engineering or testing groups employed by Owner for work at the site or this project.

D. Performance Bond Payment Bonds

The contractor shall furnish a surety company bond in the amount of 100% of the contract price covering 100% performance and maintenance and 100% payment with such sureties and/or agency as approved by the Owner and a Payment Bond (AIA Document A312-2010). The Bond shall include maintenance provisions covering workmanship and materials for a period of one year or for longer periods where so specified, from and after the Date of Substantial Completion. The Contractor shall include the cost of the bond as part of the contract price.

**CERTIFICATION OF COMPLIANCE
WITH E-VERIFY PROGRAM OR EQUIVALENT**

This is to certify that _____ (“Company”) covenants, represents and warrants to Kearns Improvement District (“District”) that Company is and at all time during the performance of any contract with the District will be in full compliance with the requirements of Utah Code Ann. § 63G-12-302(3) (including amendments and substitutions to the law) relative to the verification of the work eligibility status of employees and, in particular, that Company is registered and participates in a Status Verification system as required by law.

Dated this _____ day of _____, 2021.

Name of Company

By: _____

Title: _____

Printed Name: _____

4823-4663-5342, v. 2

No Conflict Statement

This statement is to certify that _____ (“Company”) covenants, represents and warrants to Kearns Improvement District (“District”) that Contractor is and at all time during the performance of any contract with the District does not have any conflict of interest issues. If selected, _____ (“Company”) further agrees that we will not enter into any agreements with other entities that may result in a possible conflict of interest without first consulting with the District.

Dated this _____ day of _____, 2021.

Name of Company

By: _____

Title: _____

Printed Name: _____